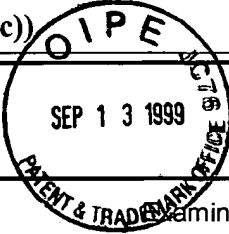


GAM-2877

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 0196/US
In Re Application Of: <b>Stephen D. Fantone, et al.</b>			
Serial No. <b>09,328,972</b>	Filing Date <b>June 9, 1999</b>	Examiner 	Group Art Unit <b>2877</b>
Title: <b>AUTOMATED OPTICAL MEASUREMENT APPARATUS AND METHOD</b>			
<p style="text-align: center;">Address to:  <b>Assistant Commissioner for Patents</b>  <b>Washington, D.C. 20231</b></p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <ol style="list-style-type: none"> <li>1. a Final Action under 37 CFR 1.113, or</li> <li>2. a Notice of Allowance under 37 CFR 1.311,</li> </ol> <p style="padding-left: 40px;">whichever occurs first.</p> <p>Also submitted herewith is:</p> <p><input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p> <div style="position: absolute; right: 50px; bottom: 50px; text-align: right;"> <p><b>RECEIVED</b>  <b>SEP 16 1999</b>  <b>TECHNOLOGY CENTER 2800</b></p> </div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c) **E**)

Docket No.

0196/US

In Re Application Of: Stephen D. Fantone, et al.

SEP 13 1999

Serial No.

09,328,972

Filing Date

June 9, 1999

Examiner

Group Art Unit

2877

Title: AUTOMATED OPTICAL MEASUREMENT APPARATUS AND METHOD

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1150 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on Sep. 7, 1999 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Francis J. Caufield

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: September 7, 1999

Francis J. Caufield  
Registration No. 27,425  
6 Apollo Circle  
Lexington, MA 02421-7025

Telephone: 781 860 5254  
Facsimile: 781 862 9464

CC:

**RECEIVED**

SEP 16 1999

TECHNOLOGY CENTER 2800

0196/US



**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 7, 1999

Signature: \_\_\_\_\_

*Francis J. Caufield*  
Francis J. Caufield  
Registration No. 27,425

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/328,972

Art Unit: 2877

Filed: June 9, 1999

Examiner:

Inventor: Stephen D. Fantone, et al.

For: AUTOMATED OPTICAL MEASUREMENT APPARATUS AND  
METHOD

***TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE  
MAILING OF FIRST OFFICE ACTION UNDER  
37 CFR §1.97(b)***

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

SEP 16 1999

TECHNOLOGY CENTER 2800

Sir:


It is respectfully requested that the publications listed on the attached form PTO-1449 be made of record in this application because they may be relevant to the question of patentability of the claims during their examination.

Applicant requests that these publications be considered by the Examiner before issuance of the next office action. The Examiner is also asked to initial and return copies of the enclosed PTO-1449 form(s) to evidence such consideration.

Serial No. 09/328,972

In accordance with 37 CFR § 1.98(d), copies of the cited references are being supplied with this information disclosure statement.

Respectfully submitted,



September 7, 1999

Date

Francis J. Caufield

Registration No. 27,425

6 Apollo Circle

Lexington, MA 02421-7025

Telephone: 781 860 5254

Facsimile: 781 862 9464

FJC:pc

E:\Clientwork\Clientwork1\ZYGO\PATS\0189z169\US\IDS\0189IDS.1ST.DOC